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PTO/SB/21 (09-04)

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/652,653
Filing Date	August 28, 2003
First Named Inventor	Atsushi Tanaka
Art Unit	2818
Examiner Name	Unassigned
Attorney Docket Number	16869S-092100US

Total Number of Pages in This Submission

## ENCLOSURES (Check all that apply)

- |   |   |  |
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| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>USPTO forms SB08A and SB08B (two pages), copies of 3 cited non-patent references, Return Postcard |
|---|---|--|

Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Townsend and Townsend and Crew LLP		
Signature			
Printed name	Chun-Pok Leung		
Date	12-16-04	Reg. No.	41,405

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature			
Typed or printed name	Roger Hylton	Date	12/17/04



PATENT  
Attorney Docket No.: 16869S-092100US  
Client Reference No.: W1149-01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Atsushi TANAKA et al.

Application No.: 10/652,653

Filed: August 28, 2003

For: SAN/NAS INTEGRATED  
STORAGE SYSTEM

Examiner: Unassigned

Art Unit: 2818

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

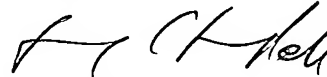
The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the non-patent references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the

undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Chun-Pok Leung  
Reg. No. 41,405

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60379649 v1



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	10/652,653
Filing Date	August 28, 2003
First Named Inventor	Atsushi Tanaka
Art Unit	2818
Examiner Name	Unassigned
Attorney Docket Number	16869S-092100US

**U.S. PATENT DOCUMENTS<sup>+</sup>**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	1	2004/0128456	07-01-2004	Kobayashi et al.	
	2	2004/0083401	04-29-2004	Furukawa et al.	
	3	2003/0236884	12-25-2003	Yamamoto et al.	
	4	6,658,504	12-02-2003	Lieber et al.	
	5	2003/0204671	10-30-2003	Matsunami et al.	
	6	6,606,690	08-12-2003	Padovano	
	7	2003/0135782	07-17-2003	Matsunami et al.	
	8	2003/0105767	06-05-2003	Sonoda et al.	
	9	2003/0084242	05-01-2003	Strange et al.	
	10	2003/0037061	02-20-2003	Sastri et al.	
	11	2002/0161982	10-31-2002	Riedel	
	12	2002/0129216	09-12-2002	Collins	
	13	2002/0083120	06-27-2002	Soltis	
	14	2004/0128456	07-01-2004	Kobayashi et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>a</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

60379649 v1

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/652,653
				Filing Date	August 28, 2003
				First Named Inventor	Atsushi Tanaka
				Art Unit	2818
				Examiner Name	Unassigned
Sheet	2	of	2	Attorney Docket Number	16869S-092100US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	15	"Storage Management, NAS, IP SAN and Gateway," product information NeuStream, Inc. Minneapolis, MN (August 2004).	
	16	"Server, NAS/SAN/DAS, and RAID Array Data Recovery Capabilities," product information Iomega Corporation San Diego, CA (2004)	
	17	"Comparing Storage Area Networks and Network Attached Storage," product information Brocade Communications Systems, Inc. San Jose, CA (2001).	

Examiner Signature		Date Considered	
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